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# Processing of biomorphic  $Si<sub>3</sub>N<sub>4</sub>$  ceramics by CVI-R technique with  $\text{SiCl}_4/\text{H}_2/\text{N}_2$  system

Hanadi Ghanem∗, Nadejda Popovska, Helmut Gerhard

*Department of Chemical Reaction Engineering, University Erlangen-Nuremberg Egerlandstrasse 3, D-91058 Erlangen, Germany*

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#### **Abstract**

Biomorphic porous silicon nitride  $Si_3N_4$  ceramics have been produced by chemical vapor infiltration (CVI) of carbonized paper preforms with silicon, followed by gas–solid chemical reaction (R) of nitrogen with the infiltrated silicon. The paper was first carbonized in inert atmosphere to obtain a biocarbon (C<sub>b</sub>) template. In a second step, silicon tetrachloride in excess of hydrogen was used to infiltrate silicon into the pores of the  $C_b$  template and to deposit silicon onto the  $C_b$  fibers. Finally, a gas-solid chemical reaction between nitrogen and infiltrated silicon in a temperature range of 1300–1450 °C took place in N<sub>2</sub> or N<sub>2</sub>/H<sub>2</sub> atmosphere to form reaction bonded silicon nitride (RBSN) ceramics. After nitridation, the samples consist mainly of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> phase for thermal treatment below the melting point of silicon (1410 °C) or of  $\beta$ -Si<sub>3</sub>N<sub>4</sub> phase and  $\beta$ -Si<sub>3</sub>N<sub>4</sub>/SiC-mixed ceramics for treatment at temperatures above.

The crystalline phases  $\alpha$ - and  $\beta$ -Si<sub>3</sub>N<sub>4</sub> were identified by X-ray diffraction (XRD) analysis and the microstructure of these samples was investigated by scanning electron microscopy (SEM). Energy-dispersive X-ray analysis (EDX) was used to detect the presence of silicon, nitrogen, carbon and oxygen, whereas Raman spectroscopy was applied to identify the presence of Si and SiC. Using thermal gravimetric analysis (TGA), residual carbon was determined. It was found, that addition of  $10\%$  H<sub>2</sub> to the nitridation gas at temperatures near the melting point of silicon allows to increase the conversion of Si as well as to control the exothermic nitridation reaction obtaining the preferable needle-like microstructure. © 2006 Elsevier Ltd. All rights reserved.

*Keywords:* SiC; Si3N4; Fibers; Structural application; Chemical vapor infiltration (CVI)

# **1. Introduction**

Manufacturing of biomorphic porous ceramics such as SiC,  $TiC$  and  $TiO<sub>2</sub>$ , as a new class of structural materials, has attracted a lot of attention recently. This interest is due to the need for engineering ceramics that combine high strength with low density. These materials are prepared by biotemplating technique, where natural grown structures like wood, industrially manufactured cellulose fibers or corrugated cardboards are used as bulk templates for high-temperature conversion into technical ceramics by a ceramization process. This process includes generally several complex-processing steps as shown in [Fig. 1.](#page-1-0) Firstly, a pyrolysis step in inert atmosphere is applied in order to convert the organic molecules of the paper fibers into a carbon lattice, called the biocarbon template  $(C_b$  template), followed by chem-

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ical vapor infiltration (CVI) with gaseous precursors, where a ceramic layer is deposited around the bio carbon fibers. Finally, a thermal treatment step, the chemical reaction in different atmospheres at different temperatures is applied, in order to convert the deposited ceramic precursor into the desired ceramic.

Beside SiC and TiC porous ceramics investigated earlier in $1-3$ porous silicon nitride  $(Si<sub>3</sub>N<sub>4</sub>)$  ceramic is a promising candidate for high-temperature structural applications including metalengineering components, biomaterials and catalyst supports because of its high-temperature strength, thermal shock resistance, chemical stability and excellent creep resistance<sup>4</sup>. Silicon nitride crystallizes in two hexagonal modifications  $\alpha$ - and  $\beta$ - $Si<sub>3</sub>N<sub>4</sub><sup>5-7</sup>$  while cubic  $Si<sub>3</sub>N<sub>4</sub>$  has recently been synthesized<sup>8</sup>. In the  $\alpha$  and  $\beta$  form, the basic building unit is the Si–N tetrahedron, in which a silicon atom lies in the centre of a tetrahedron and a nitrogen atom at each corner.  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> is known to convert into -phase at high temperature via a solution-precipitation mechanism which is assisted in the presence of additives by liquid phase, while the reverse process is energetically unfavored $9-11$ .

<sup>∗</sup> Corresponding author. Tel.: +49 9131 852 7437; fax: +49 9131 852 7421. *E-mail address:* [Hanadi.Ghanem@crt.cbi.uni-erlangen.de](mailto:Hanadi.Ghanem@crt.cbi.uni-erlangen.de) (H. Ghanem).

<span id="page-1-0"></span>

Fig. 1. Flow chart of processing of biomorphic porous ceramics from paper preforms.

Processing of porous  $Si<sub>3</sub>N<sub>4</sub>$  based on the ceramization process shown in Fig. 2, has not been described in the literature up to now. So the main objective in this paper is to investigate the processing of these materials by CVI-R technique.

Our process starts from carbonized paper preforms, which are siliconized by CVI process to obtain green Si samples. This route which is illustrated in Fig. 2 uses  $SiCl<sub>4</sub>$  in an excess of hydrogen as investigated in a previous work $12$ . This process is attractive because of the low price of the used raw materials (silicon and paper), the easy control of the shape and dimensions of the resulting ceramics and the relatively low production costs. The final step of the ceramization process for  $Si<sub>3</sub>N<sub>4</sub>$  production is a thermal treatment of the siliconized samples in nitrogen or nitrogen-containing atmosphere in a temperature range of 1300–1450  $\degree$ C, where gas–solid reaction takes place, according to the following equation:

$$
Si_{(s)} + N_{2(g)} \xrightarrow{\Delta T} Si_3N_{4(s)}
$$
 (1)

Additionally, a solid–solid and/or liquid–solid reaction between the infiltrated silicon and the carbon template is possible during the thermal treatment step at temperatures in the region of the melting point of silicon (1410 $\degree$ C) according to Eq. (2):

$$
Si_{(1,s)} + C_{b(s)} \xrightarrow{\Delta T} SiC_{(s)}
$$
 (2)

Because of the exothermic nitridation reaction  $(\Delta H = -723 +$  $0.315$ T kJ/mol),  $H_2$  and/or He may be added to the nitridation gas as a diluent to lower the concentration of nitrogen for a better control of the nitridation rate and additionally by affecting the thermal properties and molecular diffusivity of the reactive gas. The properties of ceramics produced by this method can be affected by many process variables such as nitri-dation temperature,<sup>[13](#page-6-0)</sup> composition of the nitridation gas,  $14,15$ impurities in the silicon as well as impurities in the nitridation atmosphere $16-18$ .

In the present study, paper preform has been used to fabricate biomorphic porous  $Si<sub>3</sub>N<sub>4</sub>$  ceramics through a process of pyrolysis, silicon infiltration and thermal reaction using the  $SiCl<sub>4</sub>/H<sub>2</sub>/N<sub>2</sub>$  system. The properties of the resulting ceramics like composition and microstructure are investigated as a function of the operating conditions during the infiltration and the thermal treatment steps.

#### **2. Experimental work**

Ceramization process was applied in this work by a three-step approach to convert the biological raw materials (paper preform) into biomorphic porous  $Si<sub>3</sub>N<sub>4</sub>$  ceramics.

#### *2.1. Pyrolysis of the paper preforms*

The paper sheets with dimensions  $40 \text{ mm} \times 40 \text{ mm} \times 0.6 \text{ mm}$ and a geometrical density of  $0.27$  g/cm<sup>3</sup> were put between two metallic sheets in the uniform temperature zone of a horizontal tubular reactor, an alumina tube of 32 mm inside diameter and 900 mm length, heated with external SiC heating elements. The paper sheet has been converted into carbonaceous preforms  $(C_b$  templates) by releasing all gases—H<sub>2</sub>O, CO and CO<sub>2</sub> by heating the substrate carefully under helium flow (10 cm/s). The heating rate was maintained at  $1 \degree$ C/min up to 350  $\degree$ C and kept constant for 1 h, followed by  $2^{\circ}$ C/min up to  $850^{\circ}$ C and holding another hour. After that, the furnace was slowly cooled at a rate of  $2^{\circ}$ C/min. By applying this schedule, the C<sub>b</sub> template shows a maximum carbon yield with 80% mass loss and reflects the microstructure and morphology of the initial paper preform. After the pyrolysis step, the resulting dimension of the produced C<sub>b</sub> template was  $(30 \times 30 \times 0.37 \text{ mm}^3)$  with a geometrical density of 0.125 g/cm<sup>3</sup>. The obtained  $C_b$  templates were then submitted to the infiltration step.

## *2.2. Chemical vapor infiltration (CVI)*

In the second ceramization step, the obtained  $C<sub>b</sub>$  templates are infiltrated at atmospheric pressure with silicon tetrachloride



Fig. 2. Flow chart of the ceramization steps for the production of biomorphic  $Si<sub>3</sub>N<sub>4</sub>$  ceramics.

<span id="page-2-0"></span>in excess of hydrogen. A solid Si layer is deposited around each fiber in the template according to the following equation:

$$
SiCl_{4(g)} + 2H_{2(g)} \rightarrow Si_{(g)} + 4HCl_{(g)}
$$
\n(3)

The liquid silicon tetrachloride (SiCl4) precursor is transferred into the gas phase by bubbling a carrier gas through evaporator to introduce it into the reactor. The evaporator temperature was kept constant at 10 ◦C based on the vapor pressure curve to get the desired concentration of  $SiCl<sub>4</sub>$  in the gas phase.

Prior the CVI experiments, parameter screening for surface reaction controlled deposition of Si from  $SiCl<sub>4</sub>/H<sub>2</sub>$  was performed using non-porous graphite plates as substrate. Based on these results, the following infiltration parameters were found to be optimal to achieve homogeneous infiltration of the  $C<sub>b</sub>$  template:  $900\degree C$ , 3 h, molar fraction of SiCl<sub>4</sub> in the gas phase of 0.065 with  $H_2/SiCl_4 = 17$ , residence time of the reactants in the reactor of 3.5 s, corresponding to a gas velocity of 25 cm/s. Under these conditions the mass gain of the  $C_b$  samples after infiltration was 400% to ensure Si/C ratio more than 1.

## *2.3. Reaction bonded silicon nitride (RBSN)*

The silicon infiltrated substrates were heated at a rate of 5 °C/min in a helium flow to the reaction temperature in the range of 1300–1450 ◦C. Once the system had reached the temperature, the nitridation was initiated by supplying a nitrogen or atmosphere containing nitrogen  $(N_2/H_2, N_2/H_2, N_2/Ar)$  for 5 h at atmospheric pressure. The flow rate of the reactive gas was between 150 and 180 Nl/h. After nitridation reaction, the nitrogen supply was stopped and the produced ceramics were cooled in helium flow at  $5^{\circ}$ C/min to room temperature.

#### *2.4. Characterization methods*

X-ray diffraction (XRD) is used for phase identification, structure determination and chemical analysis of components of the different crystalline phases in the solid materials. In this study the main constituents of the ceramics are unreacted silicon,  $\alpha$ -Si<sub>3</sub>N<sub>4</sub>,  $\beta$ -Si<sub>3</sub>N<sub>4</sub>, SiC and residual carbon from the C<sub>b</sub> template. The ratio between  $\alpha$ - and  $\beta$ - Si<sub>3</sub>N<sub>4</sub> can be determined according to the Gazzara and Messier method which uses the peak intensities of the  $(210)$  plane<sup>19,20</sup>:

$$
\beta - Si_3N_4 = \frac{I_\beta(210)/L_\beta(210)}{I_\beta(210)/L_\beta(210) + I_\alpha(210)/L_\alpha(210)}
$$
(4)

where *L* is a structure-related parameter,  $L_{\alpha}$  (2 1 0) = 6.97, and  $L_{\rm B}$  (2 1 0) = 11.21.

The XRD measurements were carried out on a Philips PW 3040 diffractometer by using Cu K $\alpha$  radiation in the range  $2\theta$ ,  $10-70^\circ$ .

Energy-dispersive X-ray spectroscopy analysis (EDX/SEM Philips XL 30) was used to get qualitative and quantitative analysis of elements like carbon, nitrogen, silicon and oxygen in the obtained ceramic layer, whereas scanning electron microscopy (SEM) was used to characterize the surface morphology after each processing step as a function of the operating conditions.

Thermal gravimetric analysis (TGA, Simultan-Thermo-Analysen-Gereat STA 409 Firma Netzsch-Geratebau GmbH) of ¨ the ceramized samples allows continuous weight measurement, whilst the sample was oxidized in flowing air at  $750^{\circ}$ C for 6 h. Thus the amount of residual carbon can be determined from the mass loss of the sample.

#### **3. Results and discussions**

The grade of conversion of Si infiltrated  $C_b$  template into ceramics as well as their composition  $(Si<sub>3</sub>N<sub>4</sub> or Si<sub>3</sub>N<sub>4</sub>/SiC)$  and microstructure ( $\alpha$ - or  $\beta$ -Si<sub>3</sub>N<sub>4</sub>) are strongly affected by the temperature and the reactive gas atmosphere during the last thermal treatment step of the ceramization procedure.

## *3.1. Grade of conversion of Si into Si3N4*

The conversion of Si into  $Si<sub>3</sub>N<sub>4</sub>$  is strongly affected by the temperature of the thermal treatment. This can be observed by estimating the nitridation grade (NG) by the following



Fig. 3. Effect of nitridation temperature and gas composition on the nitridation grade.



Fig. 4. XRD of ceramics treated at different temperatures and gas atmosphere.

<span id="page-3-0"></span>

Fig. 5. Raman spectra for samples nitrided in different atmospheres at 1450 ◦C.

equation $21$ :

$$
NG = \frac{W_{\rm NS} - W_{\rm IS}}{1.6 \times W_{\rm IS}} \times 100
$$
 (5)

where  $W_{\text{NS}}$  and  $W_{\text{IS}}$  are the weight of nitrited and Si infiltrated sample, respectively.

Based on Eq. (5), the nitridation grade in  $N_2$  and  $N_2/H_2$  atmosphere was calculated and plotted in [Fig. 3](#page-2-0) as a function of the reaction temperature. It can be seen, that both treatment atmo-



Fig. 6. Effect of the thermal treatment conditions on the ratio between  $\alpha$ - and  $\beta$ -Si<sub>3</sub>N<sub>4</sub>.

spheres show the same trend with maximum nitridation grade of 50% (N<sub>2</sub>/H<sub>2</sub>) and 25% (N<sub>2</sub>) at 1400 °C.

## *3.2. Composition of the Si3N4 ceramics*

The composition of the obtained  $Si<sub>3</sub>N<sub>4</sub>$  ceramics depends mainly on the temperature during the thermal treatment step regardless of the atmosphere. As already shown in [Fig. 3,](#page-2-0) the grade of nitridation decreases at temperatures above the melting



(c) N<sub>2</sub>//He, 1300°C





(d) N<sub>2</sub>/H<sub>2</sub>/He, 1300°C



Fig. 7. SEM of  $Si<sub>3</sub>N<sub>4</sub>$  obtained at different nitridation atmospheres for 5 h at 1300 °C.

point of silicon due to fact that silicon melts before its reaction with nitrogen could take place. This situation enhances the formation of SiC according to Eq.  $(2)$  prior to Eq.  $(1)$ because liquid silicon and nitrogen cannot react until  $Si<sub>3</sub>N<sub>4</sub>$ nuclei have been introduced into the system $^{22}$ . According to Niihara and coworkers<sup>[23,24](#page-6-0)</sup> SiC formed in this way can provide nucleation sites for  $\beta$ -Si<sub>3</sub>N<sub>4</sub>. As a result mixed ceramics was obtained.

The presence of SiC in the ceramics was confirmed by XRD ([Fig. 4\)](#page-2-0) and Raman spectroscopy [\(Fig. 5\).](#page-3-0) The Raman spectra show sharp peak for crystalline SiC at  $790 \text{ cm}^{-1}$  in addition to Si peak at  $520 \text{ cm}^{-1}$  for samples nitrated at  $1450 \degree \text{C}$  in different atmospheres. Actually, the conversion of carbon from the  $C<sub>b</sub>$ template into SiC was estimated by exposing the porous ceramics to synthetic air at  $750\,^{\circ}\text{C}$  for 6 h. The amount of reacted carbon is calculated based on the difference between the mass of the initial  $C<sub>b</sub>$  template and the mass loss of the ceramized samples due to oxidation of the residual carbon. The conversion of carbon into SiC increases from 15% to 31.6% and to 85.5% for the Si infiltrated samples treated at 1300, 1400 and 1450 $°C$ , respectively. This can also be observed from the increase in intensity of the SiC peaks in the XRD diagram with increasing temperature [\(Fig. 4\).](#page-2-0)

Based on the results presented above, it can be concluded, that at temperatures below the melting point of silicon predominantly  $Si<sub>3</sub>N<sub>4</sub>$  was formed, whereas at higher temperatures mixed Si<sub>3</sub>N<sub>4</sub>/S<sub>i</sub>C ceramics were obtained.

The crystalline phases of the silicon nitride depend also on the thermal treatment conditions. The identification of  $\alpha$ - and  $\beta$ -Si<sub>3</sub>N<sub>4</sub> phases as well as the determination of the  $\alpha/\beta$  ratio as a function of the temperature has been done by XRD as shown in [Figs. 4 and 6, re](#page-2-0)spectively. The transformation of  $\alpha$ -into  $\beta$ -phase with  $80\%$   $\beta$ -Si<sub>3</sub>N<sub>4</sub> took place above the melting point of Si.

# *3.3. Morphology of the Si3N4 ceramics*

Typical morphologies of samples nitridated at 1300, 1400 and  $1450^{\circ}$ C are shown in [Figs. 7–9, r](#page-3-0)espectively. A needle-like microstructure of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> is obtained predominantly from silicon impregnated samples treated at temperature of 1300 and 1400 °C in an atmosphere consisting of 10% H<sub>2</sub> and 90% N<sub>2</sub> as shown in [Fig. 7b and Fig. 8b, re](#page-3-0)spectively, whereas thermal treatment in pure  $N_2$  or  $N_2$ /He leads to formation of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> hexagonal prism microstructure, [Fig. 7\(a and c\) and Fig. 8\(a and c\).](#page-3-0) Furthermore, the samples treated at  $1450\degree$ C ([Fig. 9\)](#page-5-0) show  $\beta$ -Si<sub>3</sub>N<sub>4</sub> phase with elongated grains connected randomly in three-dimensional morphology independent from the nitridation atmosphere used. As the reaction proceeds, the spikes become larger and fill up more and more of the original silicon grain. Finally, the spikes merge together and appear to be one large



(c) N<sub>2</sub>//He, 1400°C





Fig. 8. SEM of  $Si<sub>3</sub>N<sub>4</sub>$  obtained at different nitridation atmospheres for 5 h at 1400 °C.

<span id="page-5-0"></span>

Fig. 9. SEM of  $Si<sub>3</sub>N<sub>4</sub>$  obtained at different nitridation atmospheres for 5 h at 1450 °C.

grain with large pores (poor microstructure) in addition to unreacted silicon. In this case no needle-like morphology is present.

# **4. Conclusions**

Chemical vapor infiltration technique was used successfully to convert paper preforms into biomorphic porous  $Si<sub>3</sub>N<sub>4</sub>$  ceramics. This has been carried out by the infiltration of  $C<sub>b</sub>$  template with  $SiCl<sub>4</sub>/H<sub>2</sub>$  to deposit silicon feasible for further nitridation reaction at temperature between 1300 and 1450 ◦C. It was found that the conversion of silicon to  $Si<sub>3</sub>N<sub>4</sub>$ , the ratio between  $\alpha$ and  $\beta$ -Si<sub>3</sub>N<sub>4</sub> and the morphology of the resulting ceramics are affected strongly by the nitridation conditions like temperature and composition of the reactive gas.

The optimum conditions for the  $Si<sub>3</sub>N<sub>4</sub>$  production were determined experimentally. Addition of  $10\%$  of  $H<sub>2</sub>$  to the nitridation gas at temperatures near the melting point of silicon allows to increase the conversion of Si as well as to control the exothermic nitridation reaction, obtaining the preferable needle-like microstructure.

By applying these conditions at temperature above the melting point of silicon, additional SiC can be formed by solid–solid and solid–liquid reaction parallel to  $Si<sub>3</sub>N<sub>4</sub>$  to produce  $SiC/Si<sub>3</sub>N<sub>4</sub>$ -mixed ceramics, which can enhance the thermal properties of the resulting materials at higher temperatures.

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